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PTO/SB/82 (10-00)

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## REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/925,284
Filing Date	August 9, 2001
First Named Inventor	Daniel Hawiger et al.
Group Art Unit	1645
Examiner Name	TBA
Attorney Docket Number	600-1-081 CON/CIP

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☐ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☒ Please change the correspondence address for the above-identified application to:

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Number Bar Code  
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OR

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Individual Name

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Country

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Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

### SIGNATURE of Applicant or Assignee of Record

Name

Kathleen A. Denis, Senior Director of Technology Transfer

Signature

*Kathleen A. Denis*

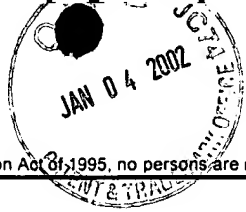
Date

23 October 2007

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Daniel Hawiger et al.  
Application No./Patent No.: 09/925,284 Filed/Issue Date: August 9, 2001  
Entitled: ENHANCED ANTIGEN DELIVERY AND MODULATION OF THE IMMUNE...  
The Rockefeller University, a n educational corporation,  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- 1. ☒ the assignee of the entire right, title, and interest; or
- 2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

- 1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- 2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- 3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[X] Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

23 October 2001  
Date

Kathleen A. Denis, Senior Director of Technology Transfer  
Typed or printed name  
Kathleen A. Denis  
Signature

\_\_\_\_\_  
Title

Transfe:



**ASSIGNMENT**

WHEREAS, WE,

**Daniel Hawiger**, a citizen of Poland, residing at  
1230 York Avenue  
New York, New York 10021;

**Michel Nussenzweig**, a citizen of Brazil, residing at  
1230 York Avenue  
New York, New York 10021; and

**Ralph M. Steinman**, a citizen of the United States, residing at  
62 North Avenue  
Westport, Connecticut 06880

have invented certain new and useful improvements in

**ENHANCED ANTIGEN DELIVERY AND MODULATION OF THE IMMUNE  
RESPONSE THEREFROM**

for which we have filed an Application for Letters Patent in the United States on August 9,  
2001, and was accorded U.S. Serial No. 09/925,284; and

WHEREAS, **THE ROCKEFELLER UNIVERSITY**,  
an educational corporation organized and existing under  
the laws of the State of New York,  
with offices at 1230 York Avenue,  
New York, New York 10021-6399

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said  
improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which  
is hereby acknowledged, we the said

Daniel Hawiger, Michel Nussenzweig and Ralph M. Steinman

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign,  
transfer and set over, to the said

**THE ROCKEFELLER UNIVERSITY,**

its successors, legal representatives and assigns, the entire right, title and interest in, to and  
under the said improvements, and the said application and all divisions, renewals, and  
continuations thereof, and all Letters Patent of the United States which may be granted  
thereon and all reissues and extensions thereof, and all applications for Letters Patent which

**COPY**

may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted from said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date 10/25/, 2001

Daniel Hawiger L.S.  
Daniel Hawiger

State of NY :  
County of NY : ss.  
:

Before me this 25 day of Oct 2001, personally appeared Daniel Hawiger, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

TERESA L. SOLOMON  
Notary Public, State of New York  
No. 31-4983836  
Qualified in New York County  
Commission Expires Dec. 16, 2001

Teresa L. Solomon  
NOTARY PUBLIC

(Affix Seal Here)

Date Oct 25, 2001

Michel Nussenzweig L.S.  
Michel Nussenzweig

State of NY :  
County of NY : ss.  
:

Before me this 25 day of Oct 2001, personally appeared Michel Nussenzweig, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

TERESA L. SOLOMON  
Notary Public, State of New York  
No. 31-4983836  
Qualified in New York County  
Commission Expires Dec. 16, 2001

Teresa L. Solomon  
NOTARY PUBLIC

(Affix Seal Here)

Date 10/31/01, 2001

Ralph M. Steinman L.S.  
Ralph M. Steinman

State of New York :  
: ss.  
County of \_\_\_\_\_ :

Before me this 30 day of Oct 2001, personally appeared Ralph M. Steinman, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Magistrate T. M. M. M. M.  
NOTARY PUBLIC

MARGARET T. M. M. M.  
Notary Public, State of New York  
No. 41-4836775  
Qualified in Queens County  
Commission Expires March 30, 19

10/31/2002